

526 Rec'd PCT/PTO 09 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gregory H. Lambrecht et al.  
For: CARDIAC VALVE PROCEDURE METHODS AND  
DEVICES  
Attorney's Docket No.: VIA-3

BOX PCT  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicant hereby makes the following documents of record in the above-identified application:

U.S. and Foreign Patents

- (1) U.S. Patent No. 5,827,237, issued 10/27/98 to Macoviak et al.;
- (2) U.S. Patent No. 5,876,367, issued 03/02/99 to Kaganov et al.;
- (3) U.S. Patent No. 5,984,959, issued 11/16/99 to Robertson et al.;
- (4) U.S. Patent No. 5,980,570, issued 11/09/99 to Simpson; and
- (5) PCT International Publication No. WO 00/47139, published 08/17/00 by Heartport, Inc.

A copy of these documents, which are listed on the accompanying Form PTO-1449 (1 page), are enclosed herewith. Applicant respectfully requests that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicant respectfully requests that a copy of Form PTO-1449 (1 page), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

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It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

The enclosed documents may have markings thereon. Applicant is not presently aware of the source of those markings, and no significance is meant to be attached thereto.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is believed to be required.

However, in the event that any fees may be due in connection with this submission, please charge any fees due, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

"EXPRESS MAIL" MAILING LABEL NUMBER EK830404252US

DATE OF DEPOSIT NOVEMBER 9, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

JAMES A. SHERIDAN

(PERSON MAILING)

MB\ VIA3. IDS

James A. Sheridan 11/09/2000  
(SIGNATURE)

Respectfully submitted,

James A. Sheridan 11/09/00

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FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	VIA-3	0 /
	APPLICANT Gregory H. Lambrecht et al.	
	FILED DATE	GROUP N/A

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
AA		5 8 2 7 2 3 7	10/98	Macoviak et al.	604	246	
AB		5 8 7 6 3 6 7	3/99	Kaganov et al.	604	8	
AC		5 9 8 4 9 5 9	11/99	Robertson et al.	623	2	
AD		5 9 8 0 5 7 0	11/99	Simpson	623	2	
AE							
AF							
AG							
AH							
AI							
AJ							
AK							

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
WA	LO	0 0 4 7 1 3 9	8/00	PCT	A61F2	24	
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
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AS	
AT	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.